Please type a plus sign (+) inside this box ➔ ⊞

PTO/SB/05 (11-00)
Approved ~~~ through 10/31/2002. OMB 0651-0032
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02.11.02

A

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	**R0056C-DIV**
First Inventor	Sharon Dankwardt, et al.
Title	Peptidic Procollagen C-Proteinase Inhibitors
Express Mail Label No.	ET 648481798 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
   (Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification [*Total Pages* 59]
   (preferred arrangement set forth below)
   - Descriptive title of the Invention
   - Cross References to Related Applications
   - Statement Regarding Fed sponsored R & D
   - *Reference to sequence listing, a table, or a computer program listing appendix*
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings *(if filed)*
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure
4. ☐ Drawing(s) *(35 U.S.C. 113)* [*Total Sheets*   ]
5. Oath or Declaration [*Total Pages* 2]
   a. ☐ Newly executed (original or copy)
   b. ☒ Copy from a prior application (37 CFR 1.63 (d))
      (for a continuation/divisional with Box 18 completed)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
   a. ☒ Computer Readable Form
   b. Specification Sequence Listing on:
      i. ☐ CD-ROM or CD-R (2 copies); or
      ii. ☐ paper
   c. ☒ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R.§3.73(b) Statement ☒ Power of Attorney *(when there is an assignee)*
11. ☐ English Translation Document *(if applicable)*
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

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18. If a **CONTINUING APPLICATION**, *check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation   ☒ Divisional   ☐ Continuation-in-part (CIP)   of prior application No: **09/459,201**

Prior application information: Examiner **D. LUKTON**   *Group / Art Unit:* **1653**

For **CONTINUATION or DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation **can only** be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label   *(Insert Customer No. or Attach bar code label here)*   or ☐ Correspondence address below

24372
PATENT TRADEMARK OFFICE

Name	**Roche Bioscience**
Address	**Patent Law Dept. M/S A2-250**
	3401 Hillview Avenue
City	**Palo Alto**
State	**CA**
Zip Code	**94304**
Country	USA
Telephone	(650) 855-6995
Fax	(650) 855-5322
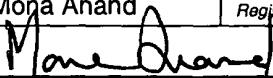
Agent)	**Mona Anand**	*Registration No. (Attorney/Agent)*	34,537
Signature	*[signature]*	Date	February 7, 2002

Doc116594

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	New application
Filing Date	(herewith)
First Named Inventor	Sharon Dankwardt, et al.
Examiner Name	(unassigned)
Group / Art Unit	(unassigned)

TOTAL AMOUNT OF PAYMENT ($) 1,082.00 | Attorney Docket No. | R0056C-DIV

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees, to charge any additional fees that may be required, or to credit any overpayments to:

Deposit Account Number

18-1700

Deposit Account Name

Roche Bioscience
3401 Hillview Avenue
Palo Alto, CA 94304

☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
101	740			Utility filing fee	740.
106	330			Design filing fee	
107	510			Plant filing fee	
108	740			Reissue filing fee	
114	160			Provisional filing fee	

SUBTOTAL (1) | $740.

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	39 -20 ** = 19	X 18	= 342.
Independent Claims	1 -3 ** = 0	X 84	= 0
Multiple Dependent		X	= 0

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description
103	18			Claims in excess of 20
102	84			Independent claims in excess of 3
104	280			Multiple dependent claim, if not paid
109	84			** Reissue independent claims over original patent
110	18			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) | ($) 1,082.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
105	130			Surcharge - late filing fee or oath	
127	50			Surcharge - late provisional filing fee or cover sheet.	
139	130			Non-English specification	
147	2,520			For filing a request for reexamination	
112	920*			Requesting publication of SIR prior to Examiner action	
113	1,840*			Requesting publication of SIR after Examiner action	
115	110			Extension for reply within first month	
116	400			Extension for reply within second month	
117	920			Extension for reply within third month	
118	1,440			Extension for reply within fourth month	
128	1,960			Extension for reply within fifth month	
119	320			Notice of Appeal	
120	320			Filing a brief in support of an appeal	
121	280			Request for oral hearing	
138	1,510			Petition to institute a public use proceeding	
140	110			Petition to revive – unavoidable	
141	1,280			Petition to revive – unintentional	
142	1,280			Utility issue fee (or reissue)	
143	460			Design issue fee	
144	620			Plant issue fee	
122	130			Petitions to the Commissioner	
123	50			Processing fee under 37 CFR 1.17 (q)	
126	180			Submission of Information Disclosure Stmt	
581	40			Recording each patent assignment per property (times number of properties)	
146	740			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740			For each additional invention to be examined (37 CFR § 1.129(b))	
179	740			Request for Continued Examination (RCE)	
169	900			Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid | SUBTOTAL (3) | ($) 0

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Mona Anand	Registration No. Attorney/Agent	34,537	Telephone	650/ 855-6995
Signature	*[signature]*			Date	February 7, 2002

PEPTIDIC PROCOLLAGEN C-PROTEINASE INHIBITORS

Attorney Docket No. R0056B-Reg

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Sharon Marie Dankwardt
Harold Edgar VanWart
Keith Adrian Murray Walker

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence and patent application are being deposited with the U.S. Postal Service as "EXPRESS MAIL - POST OFFICE TO ADDRESSEE" under 37 CFR 1.10 in an envelope addressed to: Assistant Commissioner of Patents, Washington D.C. 20231 on DECEMBER 10, 1999.

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Name of Person Mailing *CHRIS MYERS*

Signature *Chris Myers*   Date *December 10, 1999*

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